



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No. 09/811,362
Filing Date 3/16/2001
Inventorship Zintel et al.
Applicant Microsoft Corporation
Attorney's Docket No. MS1-785US
Title: An XML-Based Template Language for Devices and Services

TRANSMITTAL LETTER AND CERTIFICATE OF MAILING

To: Commissioner of Patents and Trademarks,
Washington, D.C. 20231
From: Lewis C. Lee (Tel. 509-324-9256; Fax 509-323-8979)
Customer No. 22801



22801

PATENT TRADEMARK OFFICE

The following enumerated items accompany this transmittal letter and are being submitted for the matter identified in the above caption.

1. Transmittal letter including Certificate of Mailing
2. Return Post Card
3. Information Disclosure Statement w/Form PTO-1449

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Large Entity Status ☒ Small Entity Status ☐

Applicant hereby requests an extension of time in any case such an extension is necessary. The fee should be charged to the Deposit Account indicated below.

The Commissioner is hereby authorized to charge payment of fees or credit overpayments to Deposit Account No. 12-0769 in connection with any patent application filing fees under 37 CFR 1.16, and any processing fees, including any necessary extension fees under 37 CFR 1.17.

Date: Sept. 10, 2001

By: L. C. Lee

Lewis C. Lee
Reg. No. 34,656

CERTIFICATE OF MAILING

I hereby certify that the items listed above as enclosed are being deposited with the U.S. Postal Service as either first class mail, or Express Mail if the blank for Express Mail No. is completed below, in an envelope addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the below-indicated date. Any Express Mail No. has also been marked on the listed items.

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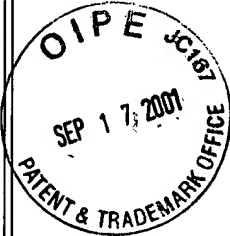
Date: Sept. 10, 2001

By: Cheryl K. Boies

Cheryl K. Boies

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8 **INFORMATION DISCLOSURE STATEMENT**

9 *References -- See Attached Form PTO-1449*

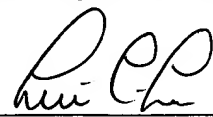
10 **REMARKS**

11 The citations listed are submitted in compliance with the duty of disclosure
12 defined in 37 CFR §1.56. Copies of the cited references were cited or submitted
13 with the priority application and are therefore not submitted herewith.


14 The Examiner is requested to make these citations of official record in this
15 application.

16 Respectfully submitted,

17 Date: Sept. 10, 2001

18 By: 
Lewis C. Lee
Reg. No. 34,656

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					APPLICANT Microsoft Corporation			
					FILING DATE 3/16/2001		GROUP	
U.S. PATENT DOCUMENTS								
*Examiner Initial	A	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
	A	6,101,499	8/00	Ford et al.	707	10		
	B	5,394,556	2/95	Oprescu	395	800		
	C	5,559,967	9/96	Oprescu et al.	395	285		
	D	5,764,930	6/98	Staats	395	287		
	E	5,809,331	9/98	Staats et al.	395	830		
	F	5,787,259	7/98	Haroun et al.	395	200.83		
	G	5,748,980	5/98	Lipe et al.	395	828		
	H	5,787,246	7/98	Lichtman et al.	395	200.5		
	I	5,793,979	8/98	Lichtman et al.	395	200.56		
	J	5,903,894	5/99	Reneris	707	100		
	K	5,881,230	3/99	Christensen et al.	395	200.33		
FOREIGN PATENT DOCUMENTS								
		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
		WO 99/35856	7/15/99	WO				
OTHER PRIOR ART (including Author, Title, Date, Pertinent Pages, Etc.)								
	E		"Home Plug & Play™: CAL-based Interoperability for Home Systems," <i>HomePNP™ Specification, Version 1.0</i> , pages 1-111, (April 9, 1998).					
	F		White Paper, "HAVi, the A/V digital network revolution," <i>HAVi Organization</i> , pages 1-7 (1999).					
	G		"Specification of the Home Audio/Video Interoperability (HAVi) Architecture," <i>The HAVi Specification, Version 1.0</i> (January 18, 2000).					
	H		Anderson, "FireWire System Architecture: Second Edition, IEEE 1394a," chapters 1-4 (1999).					
	I		Technical White Paper, "Jini Architectural Overview," <i>Sun Microsystems, Inc.</i> (1999).					
	J		"Salutation Consortium Frequently Asked Questions," <i>The Salutation Consortium</i> , pages 1-6 (prior to filing date).					
EXAMINER				DATE CONSIDERED				
<small>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</small>								



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U.S. DEPARTMENT OF COMMERCE
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MS1-785USSERIAL NO.
09/811,362LIST OF ARTICLES BY APPLICANT
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Microsoft CorporationFILING DATE
3/16/2001

GROUP

U.S. PATENT DOCUMENTS

*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	A	5,491,800	2/96	Goldsmith et al.	395	200.12	
	B	5,627,964	5/97	Reynolds et al.	395	183.22	
	C	5,903,728	5/99	Semenzato	395	200.47	
	D	5,938,752	8/99	Leung et al.	710	126	
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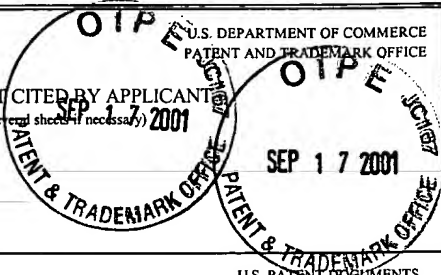
OTHER PRIOR ART (including Author, Title, Date, Pertinent Pages, Etc.)

E		"Salutation Architecture Specification (Part-1), Version 2.0c," <i>The Salutation Consortium</i> , (June 1, 1999).
F		"How it works," <i>Thalia</i> , pages 1-3 (prior to filing date).
G		"Sun Microsystems and Thalia Products Inc. to Collaborate to Co-Develop Network Software and Protocols for the Home, Results to Make Networked Appliances for the Home a Reality," <i>Sunbeam Corporation</i> , pages 1-2 (2000).
H		"Sunbeam Joins Microsoft in the Universal Plug and Play Forum to Establish A 'Universal' Smart Appliance Technology Standard," <i>Sunbeam Corporation</i> , pages 1-2 (2000).
I		

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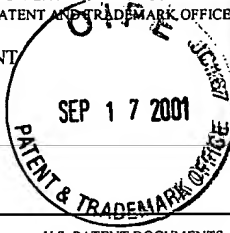
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OTHER PRIOR ART (including Author, Title, Date, Pertinent Pages, Etc.)									
	F		"Time For Smart Talk Is Over, Sunbeam Trumps Small Appliance Industry With Smart Appliance Debut," <i>Sunbeam Corporation</i> , pages 1-4 (2000).						
	F		"Lonworks Core Technology," <i>Echelon Corporation</i> , pages 1-2 (2000).						
	G		"Underlying Protocol of Echelon's LONWORKS® Network Adopted as New ANSI Standard, Free Reference Implementation Available to Developers," <i>Echelon Corporation</i> , pages 1-2 (2000).						
	H		Handley et al., "SIP: Session Initiation Protocol," <i>The Internet Society</i> , pages 1-130 (August 6, 2000).						
	I		Rosenberg et al., "SIP Extensions for Instant Messaging," <i>Internet Engineering Task Force</i> , pages 1-30 (June 15, 2000).						
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	E		Rosenberg et al., "SIP Extensions for Presence," <i>Internet Engineering Task Force</i> , pages 1-77 (June 15, 2000).				
	F		Tsang et al., "Requirements for Networked Appliances: Wide-Area Access, Control, and Interworking," <i>Internet Engineering Task Force</i> , pages 1-9 (September 2000).				
	G		Tsang et al., "SIP Extensions for Communicating with Networked Appliances," <i>Internet Engineering Task Force</i> , pages 1-9 (November 2000).				
	H		Moyer et al., "Framework Draft for Networked Appliances Using the Session Initiation Protocol," <i>Internet Engineering Task Force</i> , pages 1-31 (November 2000).				
	I		Marples, "Naming and Accessing Network Appliances using extensions to the Session Initiation Protocol," <i>SIP for Toaster</i> , Telcordia Technologies (2000).				
	J		"Networked Appliances," <i>AR GREENHOUSE</i> , Telcordia Technologies, pages 1-2 (December 15, 2000).				
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							Yes No
OTHER PRIOR ART (including Author, Title, Date, Pertinent Pages, Etc.)							
	E		Moyer et al., "SIP for Light Bulbs, Using SIP to Support Communication with Networked Appliances," Telcordia Technologies (August 2, 2000).				
	F		Bennett et al., "Integrating Presence with Multi-media Communications," <i>White Paper</i> , Dynamicsoft., pages 1-18 (2000).				
	G		Rosenberg et al., "An Application Server Architectur e for Communications Services," <i>White Paper</i> , Dynamicsoft., pages 1-13 (2000).				
	H		"EIB Technology," EIB (2000).				
	I		Freeman et al., "JavaSpaces™ Principles, Patterns, and Practice," Addison-Wesley Longman, Inc., Reading, Massachusetts (1999, Sun Microsystems, Inc.).				
	J		Arnold et al., "The Jini™ Specification," Addison-Wesley Longman, Inc., Reading, Massachusetts (1999, Sun Microsystems, Inc.).				
	K		Edwards, "Core Jini™, Second Edition," Prentice Hall PTR, Upper Saddle River, New Jersey (2001).				
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